



**United States  
Department of  
Agriculture**

Animal and Plant  
Health Inspection  
Service

Veterinary Services

National Center for  
Import and Export

Animal Products

4700 River Road  
Unit 40  
Riverdale, MD 20737

Telephone:  
(301) 851-3300

FAX:  
(301) 734-8226

Dr. Robert C. Faucett / University of Washington  
Campus Box 353010  
University of Washington  
Seattle, WA 98195

Tuesday, August 9, 2016

Dear Dr. Robert C. Faucett:

Your USDA Veterinary Permit to import and/or transport controlled materials, organisms, or vectors accompanies this cover letter.

**Review this permit carefully, as the statements and language may have changed to reflect the requirements of newly published regulations.**

Please note the following:

- USDA Veterinary Permits no longer require a signature. Use of the permit for importation of the described commodity(ies) is acknowledgement that the permittee is legally responsible for complying with the permit conditions.
- Review the import permit for errors. Should you identify any errors, please contact our office immediately
- A copy of the permit must accompany every shipment.

Do Not send the permit back to this office.

Contact our office with any questions or concerns at 301-851-3300, option 1.

Sincerely,

Dr. Linda Kahn-Tobin  
Senior Staff Veterinarian  
Import Animal Products  
National Import Export Services (NIES)

**\*USER FEES: New permit application \$150.00, Renewal permit \$97.00, Amended permit \$75.00, FBS inspection \$512.00 (all fees are per application) and the Import Compliance fee \$565.00 per shipment.**



*Safeguarding Animal Health*

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(Voice/TTY/ASCII/Spanish)  
1-800-877-8339

**UNITED STATES VETERINARY PERMIT FOR IMPORTATION  
AND TRANSPORTATION OF CONTROLLED MATERIALS AND  
ORGANISMS AND VECTORS**

PERMIT NUMBER  
119356  
Research

DATE ISSUED  
08/09/2016

DATE EXPIRES  
08/09/2017

NAME AND ADDRESS OF SHIPPER(S)

Various shippers within the  
UNITED STATES

CC:  
Service Center, WA (Tumwater, WA)  
FWS (Arlington, VA)

NAME AND ADDRESS OF PERMITTEE INCLUDING ZIP CODE AND TELEPHONE NUMBER

Dr. Robert C. Faucett  
University of Washington  
Burke Museum, corner of 17th Avenue NE  
& NE 45th Street  
Seattle, Washington 98195  
206-543-1668 / 206-619-5569

U.S. PORT(S) OF ARRIVAL  
TRANSPORT PERMIT

MODE OF TRANSPORTATION ANY

AS REQUESTED IN YOUR APPLICATION, YOU ARE AUTHORIZED TO IMPORT OR TRANSPORT THE FOLLOWING MATERIALS

Avian specimens: previously imported

**RESTRICTIONS AND PRECAUTIONS FOR TRANSPORTING AND HANDLING MATERIALS AND ALL DERIVATIVES**

THIS PERMIT IS ISSUED UNDER AUTHORITY CONTAINED IN 9 CFR CHAPTER 1, PARTS 94.95 AND 122. THE AUTHORIZED MATERIALS OR THEIR DERIVATIVES SHALL BE USED ONLY IN ACCORDANCE WITH THE RESTRICTIONS AND PRECAUTIONS SPECIFIED BELOW (ALTERATIONS OF RESTRICTIONS CAN BE MADE ONLY WHEN AUTHORIZED BY USDA, APHIS, VS).

**o Adequate safety precautions shall be maintained during shipment and handling to prevent dissemination of disease.**

**o** With the use of this permit I, Robert Faucett, Permittee, acknowledge that the regulated material(s) will be imported/transported within the United States in accordance with the terms and conditions as are specified in the permit. The Permittee is the legal importer/recipient [as applicable] of regulated article(s) and is responsible for complying with the permit conditions. The Permittee must be at least 18 years of age and have and maintain an address in the United States that is specified on the permit; or if another legal entity, maintain an address or business office in the United States with a designated individual for service of process; and serve as the contact for the purpose of communications associated with the import, transit, or transport of the regulated article(s). **\*\*Note:** Import/Permit requirements are subject to change at any time during the duration of this permit.

*continued on subsequent page(s) . . . . .*

TO EXPEDITE CLEARANCES AT THE PORT OF ENTRY, BILL OF LADING, AIRBILL OR OTHER DOCUMENTS ACCOMPANYING THE SHIPMENT SHALL BEAR THE PERMIT NUMBER

SIGNATURE Linda Kahn-Tobin



TITLE

National Import Export Services

NO. LABELS

RESTRICTIONS AND PRECAUTIONS: (continued from Permit Form VS 16-6)

- \*\*\*Each shipment shall be accompanied by an ORIGINAL signed document from the producer/manufacturer/shipper **1)** identifying the country of origin and confirming that the wild avian material was NOT collected in a) Canada between November 1, 2014 and September 30, 2015, b) the European Poultry Trade Region (EU-PTR see below) or Switzerland, or c) any of the countries listed below which are affected with HPAI or have an HPAI control zone; OR **2)** confirming that the avian material was subjected to one of the following viral inactivation treatments prior to transport: (a) heated to a minimum of 60°C for at least 30 minutes, (b) immersed in a minimum of 10% formalin, (c) immersed in a minimum of 70% alcohol, (d) immersed in phenol/chloroform, (e) heated to a minimum of 100° C for at least 20 minutes, (f) heated to a minimum of 56°C for at least 3 hours, (g) immersed in a minimum of 3% beta propiolactone for at least 12 hours at 4°C at pH 7, (h) immersed in a minimum of 2% sodium dodecyl sulfate (SDS) for at least 30 minutes, (i) proteinase K, (j) treated with guanidine HCl and/or (k) spotted on Whatman FTA cards (for liquids only).  
[This certification must CLEARLY correspond to the shipment by means of an invoice number or shipping marks or lot number or other identification method.]
- \*\*\*Countries/regions considered by USDA to be affected with highly pathogenic avian influenza (HPAI) and/or to have an HPAI quarantine control zone as of the issuance of this permit include: Afghanistan, Albania, Azerbaijan, Bangladesh, Benin, Bhutan, Burkina Faso, Cambodia, Cameroon, China, Djibouti, Egypt, Ghana, Hong Kong, India, Indonesia, Iran, Iraq, Israel, Ivory Coast (Côte d'Ivoire), Japan, Jordan, Kazakhstan, Kuwait, Laos, Lebanon, Libya, Macau, Malaysia, Mexico, Myanmar, Nepal, Niger, Nigeria, North Korea, Pakistan, Palestinian Autonomous Territories, Romania, Russia, Saudi Arabia, South Africa, South Korea, South Sudan, Sudan, Taiwan/Chinese Taipei, Thailand, Togo, Turkey, Ukraine, and Vietnam.
- \*\*\*Untreated wild bird material originating from Switzerland and/or the APHIS-defined European Poultry Trade Region (EU-PTR) is not permitted under this permit. The EU-PTR includes the following countries: Austria, Belgium, Cyprus, the Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, the Netherlands, Poland, Portugal, Slovakia, Slovenia, Spain, Sweden, and the United Kingdom (England, Scotland, Wales, the Isle of Man, and Northern Ireland).
- For the purposes of this permit, "untreated material" is defined as material that has not undergone an APHIS approved disease mitigation procedure.
- With the exception of material listed below, all studies with UNTREATED bird material must be conducted in your Biosafety Level 2 (BSL-2) facility located at the University of Washington, Burke Museum, Corner of 17th Ave NE and NE 45th Street, Rms 28 and 206, Seattle, Washington, which have been inspected and approved by the USDA. These facilities shall be reinspected every 3 years.

RESTRICTIONS AND PRECAUTIONS: (continued from Permit Form VS 16-6)

- Untreated material derived from wild birds that originated in regions free of both virulent Newcastle disease (ND) and HPAI and having no HPAI quarantine control zones is not restricted to BSL-2 requirements (unless exposed to untreated bird material sourced from other regions). This includes wild avian material collected in Argentina, Australia, Canada (except material collected from November 1, 2014 through September 30, 2015), Chile, Costa Rica, Fiji, Iceland, and New Zealand.
- Importing facility is subject to routine inspection by USDA, APHIS personnel during normal business hours to ensure compliance with permit restrictions.
- Permittee shall notify USDA, APHIS, Veterinary Services within 48 hours of failure of receipt of a shipment of materials. Permittee to contact (301) 851-3300, option 1.
- Personnel working with material that is restricted to the BSL-2 facility using procedures that may result in aerosolization (such as harvesting tissues, aspirating, dispensing, mixing, centrifuging) must either work under a biosafety cabinet or wear extra personal protective equipment (i.e. disposable coveralls, masks, gloves, headwear, and footwear) to prevent the inadvertent transport of pathogens to the outside environment or exposure of humans, domestic animals, and wildlife to potentially hazardous agents.
- Personnel must have no contact with live birds (including poultry, pet birds and wild birds) for a minimum of 5 days after working with bird material that is restricted to the BSL-2 facility.
- This permit DOES NOT authorize direct or indirect exposure of or inoculation into laboratory and domestic livestock, (including but not limited to: birds/poultry, cattle, sheep, goats, swine, and/or horses). Work shall be limited to *in vitro* uses only.
- Packaging, containers, and all equipment in contact with these materials shall be sterilized or considered a biohazard and be disposed of accordingly.
- BSL-2 restrictions may be relieved for bird material that is subjected to one of the following APHIS approved viral inactivation treatments (unless exposed to untreated bird material still under BSL-2 requirements): (a) heated to a minimum of 60°C for at least 30 minutes, (b) immersed in a minimum of 10% formalin (c) immersed in a minimum of 70% alcohol, (d) immersed in phenol/chloroform, (e) heated to a minimum of 100° C for at least 20 minutes, (f) heated to a minimum of 56°C for at least 3 hours, (g) immersed in a minimum of 3% beta propiolactone for at least 12 hours at 4°C at pH 7, (h) immersed in a minimum of 2% sodium dodecyl sulfate (SDS) for at least 30 minutes, (i) proteinase K, (j) treated with guanidine HCl and/or (k) Spotted on Whatman FTA cards (for liquids only). Records of treatment (including identification of treated material and treatment applied shall remain on file and made available to USDA upon request.

RESTRICTIONS AND PRECAUTIONS: (continued from Permit Form VS 16-6)

- This permit is valid only for work conducted or directed by you or your designee in your present U.S. facility. MATERIALS SHALL NOT BE MOVED TO ANOTHER U.S. LOCATION, OR DISTRIBUTED WITHIN THE U.S. ++EXCEPTIONS: **1)** Materials restricted to BSL-2 facilities may be transported directly to the approved USDA BSL-2 lab specified on a valid USDA, APHIS, VS, NIES transport permit issued to the recipient. Sender and recipient must adhere to all conditions on the transport permit. **2)** Materials that have been treated with one of the viral inactivation methods specified above may be distributed if accompanied by a signed document confirming the viral inactivation treatment that has been applied. HOWEVER, any treated bird material that has been exposed to untreated bird material that is restricted to the BSL-2 facility may not be distributed without being subjected again to one of the viral inactivation treatments listed above. In all cases, records of distribution (including dates, locations, and material identity, origin and treatment applied (if applicable) must be maintained and made available to USDA upon request.
- On completion of your work, all permitted materials and all derivatives therefrom shall be destroyed.
- Imported material may be subject to regulations enforced by the United States Department of Interior, Fish and Wildlife Service (FWS). Importer must contact FWS. Information is available at web pages <http://www.fws.gov/permits/> and <http://www.fws.gov/le/travelers.html>.
- This permit does not exempt the permittee from responsibility for compliance with any other applicable federal, state, or local laws and regulations.
- The restrictions on this permit remain in force as long as the material is in the United States.
- Any person who VIOLATES the terms and conditions of permits, and/or who forge, counterfeit, or deface permits may be subject to criminal and civil penalties in accordance with applicable law. In addition, all current permits may be cancelled and future permit applications denied.
- A copy of this permit must be included with the shipping documents. For imported materials, these documents must be presented to CBP Agricultural Specialists upon arrival at the U.S. port of arrival.